

Atty. Dkt. No. 086142-0600



### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Hiroaki FUJII et al.

Title:

SEAT BELT DEVICE

Patent No.:

7,537,242

Issued:

05/26/2009

Examiner:

George D. Spisich

Art Unit:

3616

Confirmation

1813

Number:

# RENEWED REQUEST FOR RECONSIDERATION OF PATENT TERM ADJUSTMENT UNDER 37 C.F.R. §1.705

Attn: Office of Petitions Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Further to the Decision on the Request for Reconsideration of Patent Term Adjustment (copy attached as Exhibit 1), mailed February 17, 2009, in connection with the above-captioned patent, reconsideration is hereby requested of Applicants original request.

In the Decision, the Petitions Attorney stated that Applicants' Request for Reconsideration of Patent Term Adjustment under 37 C.F.R. § 1.705, as it relates to the Office's failure to issue the patent within 3 years of the filing date, is being held in abeyance until after the actual patent date, and Applicants are given two (2) months from the issue date of the patent to file a written request for reconsideration. Applicants note that the application has now issued into U.S. Patent No. 7,537,242, and hereby request reconsideration of the decision denying our Request for Reconsideration previously filed on September 9, 2008 based on the following facts.

The Patent Office determined that the patent was entitled to 458 days of PTA. Applicants believe that the PTA determination was made in accordance with the "Explanation of 37 CFR 1.703(f) and of the United States Patent and Trademark Office Interpretation of 35 U.S.C. §154(b)(2)(A)" published at 69 Fed. Reg. 34238 (Jun. 21, 2004). Under that interpretation of the PTA statute, any PTO delay under 35 U.S.C. § 154(b)(1)(A) is deemed to overlap with any 3-year maximum pendency delay under 35 U.S.C. § 154(b)(1)(B), and so, as a practical effect, PTA may be awarded under §154(b)(1)(A) or §154(b)(1)(B), but not both.

On September 30, 2008, the United States District Court for the District of Columbia issued a decision finding that the U.S. Patent and Trademark Office's interpretation of the PTA statute is incorrect. Wyeth v. Dudas, Civ. Action No. 07-1492 (JR) (Sep. 30, 2008). The court determined that, under the correct interpretation of the PTA statute, periods of "overlap" are limited to "periods of time . . . [that] occur on the same day." Wyeth, slip op. at 8. Thus, a PTO delay under §154(b)(1)(A) overlaps with a delay under §154(b)(1)(B) only if the delays "occur on the same day." Id.

Applicants have recalculated the patent term adjustment for the captioned patent under the court's interpretation of the PTA statute, and have determined that the patent is entitled to 795 days PTA, as shown in Exhibit 2, which shows the relevant delays under 37 CFR §§1.702(a) and (b), and under 37 CFR §§1.703(a) and (b).

Exhibit 2 details the circumstances during the prosecution of the application resulting in the application that constitute a failure to engage in reasonable efforts to conclude processing or examination of such application as set forth in § 1.704.

(a) Total of non-overlapping PTO delay under §154(b)(1)(A) & (B):

823 days

(b) Total Applicant delay:

28 days

Final PTA Determination:

795 days

Applicants therefore respectfully request that the patent be accorded 795 days PTA.

The patent is not subject to a terminal disclaimer.

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Payment of the requisite fee was previously paid, with the Request filed on September 9, 2008. Applicants believe that no additional fees are due with this Renewed Petition, however, the Commissioner is hereby authorized to charge any additional fees which may be required regarding this request, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.

However, because this PTA error is due to a Patent Office error in interpreting and applying the PTA statute, a refund of the fee is respectfully requested.

Applicants request further that a decision on this request be deferred or delayed until a final decision has been rendered in Wyeth v. Dudas, which is now on appeal at the U.S. Court of Appeals for the Federal Circuit, under Federal Circuit Docket No. 2009-1120.

Please direct all correspondence to the undersigned attorney.

Respectfully submitted,

Date 24 July 2009

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Howard N. Shipley Attorney for Applicant

Registration No. 39,370

### **EXHIBIT 1**

#### UNITED STATES PATENT AND TRADEMARK OFFICE



COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
P.O. BOX 1450
ALEXANDRIA, VA 22313-1450
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Paper No.

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FEB 1 7 2009

In re Application of : OFFICE OF PETITIONS

Fujii et al.

Application No. 10/718,543 : ON APPLICATION FOR

Filed: November 24, 2003 : PATENT TERM ADJUSTMENT

Atty Docket No. 086142-0600 :

This is in response to the REQUEST FOR RECONSIDERATION OF PATENT TERM ADJUSTMENT UNDER 37 C.F.R. § 1.705(d) filed September 9, 2008. Applicant requests that the determination of patent term adjustment be corrected from two hundred (200) days three hundred twenty-one (321) days. Applicant requests this correction in part on the basis that the Office will take in excess of three years (3 years and 4 days) to issue this patent.

To the extent that the instant application for patent term adjustment requests reconsideration of the patent term adjustment as it relates to the Office's failure to issue the patent within 3 years of the filing date, a decision is being held in abeyance until after the actual patent date. of the actual date the patent issues is required to calculate the amount, if any, of additional patent term patentee is entitled to for Office failure to issue the patent within 3 years. See § 1.703(b). (This is true even in this instance where a request for continued examination (RCE) was filed. computer will not undertake the § 1.703(b) calculation until the actual date of issuance of the patent has been determined. Accordingly, it is still too soon to make a determination as to the correctness of any period of adjustment that will or will not be entered pursuant to § 1.703(b)).

Applicant is given TWO (2) MONTHS from the issue date of the patent to file a written request for reconsideration of the patent term adjustment for Office failure to issue the patent

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within 3 years. A copy of this decision should accompany the request. Applicant may seek such consideration without payment of an additional fee. However, as to all other bases for seeking reconsideration of the patent term adjustment indicated in the patent, all requirements of § 1.705(d) must be met. Requests for reconsideration on other bases must be timely filed and must include payment of the required fee.

Rather than file the request for reconsideration of Patent Term Adjustment at the time of the mailing of the notice of allowance, applicant is advised that they may wait until the time of the issuance of the patent and file a request for reconsideration of the patent term pursuant to 37 CFR 1.705(d). The USPTO notes that it does not calculate the amount of time earned pursuant to 37 CFR 1.702(b) until the time of the issuance of the patent and accordingly, the Office will consider any request for reconsideration of the patent term adjustment due to an error in the calculation of 37 CFR 1.702(b) to be timely if the request for reconsideration is filed within two months of the issuance of the patent.

It is acknowledged that any period of adjustment will be entered in light of 35 U.S.C. 154(B) GUARANTEE OF NO MORE THAN 3-YEAR APPLICATION PENDENCY, which provides that:

Subject to the limitations under paragraph (2), if the issue of an original patent is delayed due to the failure of the United States Patent and Trademark Office to issue a patent within 3 years after the actual filing date of the application in the United States, not including —

(i) any time consumed by continued examination of the application requested by the applicant under section 132(b);

It is noted that a Request for Continued Examination (RCE) was filed in this application on October 31, 2007.

To the extent that applicant otherwise requests correction of the patent term adjustment at the time of mailing of the notice of allowance, the application for patent term adjustment is **GRANTED**.

The Office has updated the PALM screen to reflect that the correct Patent Term Adjustment (PTA) determination at the time

of the mailing of the notice of allowance is three hundred seventeen (317) days. A copy of the updated PALM screen, showing the correct determination, is enclosed.

On August 8, 2008, the Office mailed the Determination of Patent Term Adjustment under 35 U.S.C. 154(b) in the above-identified application. The Notice stated that the patent term adjustment (PTA) to date is 200 days. On September 9, 2008, applicant timely submitted the instant application for patent term adjustment. Applicant disputes the reduction of 145 days associated with their delay in filing a reply in compliance with 1.113(c) to the final Office action mailed March 8, 2007. Applicant states that this period should have been calculated as 28 days based on their filing of a Notice of Appeal on July 6, 2007, and not as 145 days based on their filing of a request for continued examination (RCE) on October 31, 2007.

The record supports a conclusion that this application is not subject to a terminal disclaimer.

Applicant's arguments have been considered and found persuasive. A review of the record reveals that the Notice of Appeal was resubmitted on September 5, 2007, with a date-stamped postcard evidencing its original filing in the Office on July 6, 2007. As such, with respect to the final Office action mailed March 8, 2007, the period of applicant delay within the meaning of 37 CFR 1.704(b) is properly calculated using the date of filing of the notice of appeal, July 6, 2007. July 6, 2007 is three months and 28 days after March 8, 2007. The period of reduction of 145 days is being removed and the period of reduction of 28 days is being entered.

It is further noted that the filing of the request for continued examination (RCE) on October 31, 2007 does not constitute applicant delay. (As previously stated, its filing does cut off the period for calculating the over 3 year Office delay).

In view thereof, the correct determination of patent term adjustment at the time of the mailing of the notice of allowance is 317 days (345 days of Office delay reduced by 28 days of applicant delay).

PALM records indicate that the issue fee was also paid on September 9, 2008.

Receipt of the \$200.00 fee set forth in 37 CFR 1.18(e) is acknowledged. No additional fees are required.

The application is being forwarded to the Office of Data Management for issuance of the patent. The patent term adjustment indicated on the patent will include any additional patent term adjustment accrued for Office delay in issuing the patent after payment of the issue fee and all outstanding requirements having been met.

Telephone inquiries specific to this matter should be directed to the undersigned at (571) 272-3219.

Nancy Johnson

Senior Petitions Attorney

Office of Petitions

Enclosure: Copy of REVISED PALM Screen

Day : Saturday Date: 2/14/2009

Time: 21:06:16

# PALM INTRANET

PTA Calculations for Application: 10/718543						
Application Filing Date: 11/24/2003	PTO Delay (PTO): 345					
Issue Date of Patent:	Three Years: 0					
Pre-Issue Petitions: 0	Applicant Delay (APPL): 145					
Post-Issue Petitions: 0	Total PTA (days): 317					
PTO Delay Adjustment: 117						

File Contents History						
Number	Date	Contents Description	PTO	APPL	START	
70	02/14/2009	ADJUSTMENT OF PTA CALCULATION BY PTO		28		
69	02/14/2009	ADJUSTMENT OF PTA CALCULATION BY PTO	145			
60	08/08/2008	MAIL NOTICE OF ALLOWANCE	8		54	
59	08/06/2008	ISSUE REVISION COMPLETED				
58	08/06/2008	DOCUMENT VERIFICATION				
57	08/06/2008	NOTICE OF ALLOWANCE DATA VERIFICATION COMPLETED				
56	08/04/2008	NOTICE OF ALLOWABILITY				
55	05/21/2008	DATE FORWARDED TO EXAMINER				
54	03/31/2008	RESPONSE AFTER NON-FINAL ACTION				
53	01/08/2008	MAIL NON-FINAL REJECTION				
52	01/04/2008	NON-FINAL REJECTION				
51	11/14/2007	MISCELLANEOUS INCOMING LETTER				
50	11/08/2007	DATE FORWARDED TO EXAMINER				
49		AMENDMENT SUBMITTED/ENTERED WITH FILING OF CPA/RCE				
48	11/08/2007	DATE FORWARDED TO EXAMINER				
47		REQUEST FOR CONTINUED EXAMINATION (RCE)		145	36	
46	11/08/2007	DISPOSAL FOR A RCE/CPA/129 (EXPRESS ABANDONMENT IF CPA)				
45	11/06/2007	MAIL EXAMINER INTERVIEW SUMMARY (PTOL - 413)				
44	10/31/2007	WORKFLOW - REQUEST FOR RCE - BEGIN				
42		EXAMINER INTERVIEW SUMMARY RECORD (PTOL - 413)				
41	09/05/2007	MISCELLANEOUS INCOMING LETTER				
40	06/25/2007	MAIL ADVISORY ACTION (PTOL - 303)				

39	06/21/2007	ADVISORY ACTION (PTOL-303)		1	
38		DATE FORWARDED TO EXAMINER	╬	╬══	
37		AMENDMENT AFTER FINAL REJECTION	╬	╁	
36		MAIL FINAL REJECTION (PTOL - 326)	╬	╁	
35		FINAL REJECTION	<u> </u>	1	
34	11/21/2006	INFORMATION DISCLOSURE STATEMENT CONSIDERED			
33	12/30/2006	DATE FORWARDED TO EXAMINER			
32	12/11/2006	RESPONSE AFTER NON-FINAL ACTION			
31	11/21/2006	REFERENCE CAPTURE ON IDS			
30.7	11/21/2006	FILED			
30	11/21/2006	INFORMATION DISCLOSURE STATEMENT (IDS) FILED			
29		MAIL NON-FINAL REJECTION			
28	09/05/2006	NON-FINAL REJECTION			
27	06/30/2006	DATE FORWARDED TO EXAMINER			
26	06/22/2006	RESPONSE AFTER NON-FINAL ACTION			
25		INCOMING LETTER PERTAINING TO THE DRAWINGS		·	
24	03/22/2006	MAIL NON-FINAL REJECTION			
23	03/20/2006	NON-FINAL REJECTION			
22	06/14/2004	INFORMATION DISCLOSURE STATEMENT CONSIDERED			
21	11/24/2003	INFORMATION DISCLOSURE STATEMENT CONSIDERED			
20	02/03/2006	DATE FORWARDED TO EXAMINER			
19	01/27/2006	RESPONSE TO ELECTION / RESTRICTION FILED			
18	12/27/2005	MAIL RESTRICTION REQUIREMENT	337		-1
17	12/22/2005	REQUIREMENT FOR RESTRICTION / ELECTION			
16		CASE DOCKETED TO EXAMINER IN GAU			
15	09/10/2004	IFW TSS PROCESSING BY TECH CENTER COMPLETE			
14	11/24/2003	REQUEST FOR FOREIGN PRIORITY (PRIORITY PAPERS MAY BE INCLUDED)			
13	09/10/2004	CASE DOCKETED TO EXAMINER IN GAU			
		REFERENCE CAPTURE ON IDS			
11.7	06/14/2004	INFORMATION DISCLOSURE STATEMENT (IDS) FILED			
		INFORMATION DISCLOSURE STATEMENT (IDS)			

I.	14		 	
11	06/14/2004	FILED		
10		REFERENCE CAPTURE ON IDS		
9.7	11/24/2003	INFORMATION DISCLOSURE STATEMENT (IDS) FILED		
9	11/24/2003	INFORMATION DISCLOSURE STATEMENT (IDS) FILED		
8	02/19/2004	APPLICATION RETURN FROM OIPE		
7	02/19/2004	APPLICATION RETURN TO OIPE		
6	02/19/2004	APPLICATION DISPATCHED FROM OIPE		
5	02/19/2004	APPLICATION IS NOW COMPLETE		
4	02/05/2004	CLEARED BY OIPE CSR		
3	02/05/2004	CASE CLASSIFIED BY OIPE		
2	12/24/2003	IFW SCAN & PACR AUTO SECURITY REVIEW		
1	11/24/2003	INITIAL EXAM TEAM NN		

Search Another: Application#

Search

### **EXPLANATION OF PTA CALCULATION**

### **EXPLANATION OF PTE CALCULATION**

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## **EXHIBIT 2**

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### **Patent Term Adjustment Calculation System**

Docket Number: 086142-0600 Application Number: 10/718543 Patent Number: N/A

	Event Description	Event Date	Days from Filing	PTO Days	Applicant Days
Edit Delete	Application Filing Date	11/24/2003	0		
	14 month From Application date	01/24/2005	427		
Edit Delete	Restriction Requirement	12/27/2005	764	337	
Edit Delete	Restriction Requirement Response Received at PTO	01/27/2006	795		
Edit Delete	Non-Final Office Action	03/22/2006	849		
Edit Delete	Non-Final Office Action Rsp. Rcv'd at PTO	06/22/2006	941		
Edit Delete	Non-Final Office Action	09/11/2006	1,022		
Edit Delete	IDS NOT falling under 1.704(c)(6), (8) or (10) filed at PTO	11/21/2006	1,093		
	3 Year Period Starts	11/24/2006	1,096		
Edit Delete	Non-Final Office Action Rsp. Rcv'd at PTO	12/11/2006	1,113		
Edit Delete	Final Office Action	03/08/2007	1,200		
Edit Delete	Final Office Action Response Received at PTO	06/07/2007	1,291		
	Final Office Action + 3 months	06/08/2007	1,292		
Edit Delete	Advisory Action	06/25/2007	1,309		
Edit Delete	Notice of Appeal Received at PTO	07/06/2007	1,320		28
Edit Delete	Request For Continued Examination (including amendment)	10/31/2007	1,437	341	
	3 Year Period Stopped	10/31/2007	1,437		
Edit Delete	Non-Final Office Action	01/08/2008	1,506		
Edit Delete	Non-Final Office Action Rsp. Rcv'd at PTO	03/31/2008	1,589		
	Non-Final Office Action Rsp. Rcv'd at PTO + 4 mo	07/31/2008	1,711		
Edit Delete	Notice of Allowance	09/09/2008	1,719	8	
Edit Delete	Issue Fee Paid	09/09/2008	1,751		
	Issue Fee Paid + 4 months	01/09/2009	1,873		
Edit Delete	Patent Grant Date	05/26/2009	2,010	137	
Totals:					28
PTA:					95